

A CLASS OF PLANAR WELL-COVERED GRAPHS WITH GIRTH FOUR

by

Michael R. Pinter\*  
Department of Mathematics  
Belmont University  
Nashville, Tennessee, USA

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# Abstract

A well-covered graph is a graph in which every maximal independent set is a maximum independent set; Plummer introduced the concept in a 1970 paper. The notion of a 1-well-covered graph was introduced by Staples in her 1975 dissertation: a well-covered graph  $G$  is 1-well-covered if and only if  $G-v$  is also well-covered for every point  $v$  in  $G$ . Except for  $K_2$  and  $C_5$ , every 1-well-covered graph contains triangles or 4-cycles. Thus, triangle-free 1-well-covered graphs necessarily have girth 4. We show that all planar 1-well-covered graphs of girth 4 belong to a specific infinite family, and we give a characterization of this family.

# A CLASS OF PLANAR WELL-COVERED GRAPHS WITH GIRTH FOUR

## INTRODUCTION

A set of points in a graph is independent if no two points in the graph are joined by a line. The maximum size possible for a set of independent points in a graph  $G$  is called the independence number of  $G$  and is denoted by  $\alpha(G)$ . A set of independent points which attains the maximum size is referred to as a maximum independent set. A set  $S$  of independent points in a graph is maximal (with respect to set inclusion) if the addition to  $S$  of any other point in the graph destroys the independence. In general, a maximal independent set in a graph is not necessarily maximum.

In a 1970 paper, Plummer [14] introduced the notion of considering graphs in which every maximal independent set is also maximum; he called a graph having this property a well-covered graph. The work on well-covered graphs that has appeared in the literature has focused on certain subclasses of well-covered graphs. Campbell [2] characterized all cubic well-covered graphs with connectivity at most two, and Campbell and Plummer [3] proved that there are only four 3-connected cubic planar well-covered graphs. Royle and Ellingham [16] have recently completed the picture for cubic well-covered graphs by determining all 3-connected cubic well-covered graphs.

For a well-covered graph with no isolated points, the independence number is at most one-half the size of the graph. Well-covered graphs whose independence number is exactly one-half the size of the graph are called very well-covered graphs. The subclass of very well-covered graphs was characterized by Staples [17] and includes all well-covered trees and all well-covered bipartite graphs. Independently, Ravindra [15] characterized bipartite well-covered graphs and Favaron [6] characterized the very well-covered graphs. Recently, Dean and Zito [4] characterized the very well-covered graphs as a subset of a more general (than well-covered) class of graphs.

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Finbow and Hartnell [7] and Finbow, Hartnell, and Nowakowski [8] studied well-covered graphs relative to the concept of dominating sets. Finbow, Hartnell, and Nowakowski have also obtained a characterization of well-covered graphs with girth at least five [9].

A well-covered graph is 1-well-covered if and only if the deletion of any point from the graph leaves a graph which is also well-covered. A well-covered graph is in the class  $W_2$  if and only if any two disjoint independent sets in the graph can be extended to disjoint maximum independent sets. Staples [18] showed that a well-covered graph is 1-well-covered if and only if it is in  $W_2$ . Since we will appeal mostly to the notion of extending two disjoint independent sets to disjoint maximum independent sets, henceforth we use the  $W_2$  nomenclature instead of referring to 1-well-covered graphs.

The class of well-covered graphs contains all complete graphs and all complete bipartite graphs of the form  $K_{n,n}$ . The only cycles which are well-covered are  $C_3$ ,  $C_4$ ,  $C_5$ , and  $C_7$ . We note that all complete graphs are also in  $W_2$ , but no complete bipartite graphs (except  $K_{1,1}$ ) are in  $W_2$ . The cycles  $C_3$  and  $C_5$  are the only cycles in  $W_2$ .

## PRELIMINARY RESULTS

We assume that all graphs are connected, unless otherwise stated. The reader is referred to [1] for terminology and notation not defined here. Note that a disconnected graph is in  $W_2$  if and only if each of its components is in  $W_2$ . Suppose  $G$  is well-covered,  $G \neq K_1$ . Let  $v$  be a point in  $G$  and consider the graph  $G-v$ . Since  $G \neq K_1$ , there exists a point  $u \sim v$ . Since  $G$  is well-covered, the point  $u$  is contained in a maximum independent set  $I$  in  $G$ . Clearly,  $v$  is not in  $I$ . Thus,  $I$  is also independent in  $G-v$ . Consequently,  $\alpha(G-v) = \alpha(G)$  for any point  $v$ . Hence, from a result of Erdős and Gallai [5] it follows that  $\alpha(G) \leq IV(G)/2$ . Thus,  $W_2$  graphs inherit this bound on independence number.

Staples [18] proved that a  $W_2$  graph cannot have an endpoint.

**Theorem 1.** If  $G \in W_2$  and  $G$  is not complete, then  $\delta \geq 2$ .

In the next theorem, we prove that a point on a 4-cycle in a  $W_2$  graph must have at least three neighbors.

**Theorem 2.** If  $G \in W_2$  and  $v$  is a point on a 4-cycle in  $G$ , then  $\deg(v) \geq 3$ .

Proof. Suppose  $v$  is on the 4-cycle  $vabc$  in  $G$ . Also suppose that  $\deg(v) = 2$ . Then  $\{v\}$  and  $\{b\}$  cannot be extended to disjoint maximum independent sets in  $G$ , a contradiction since  $G \in W_2$ . Thus,  $\deg(v) \geq 3$ . []

In Theorem 3, we show that any point in a  $W_2$  graph that is not on a triangle must be on a 5-cycle.

**Theorem 3.** If  $G \in W_2$  and  $v$  is a point in  $G$ , then  $v$  is on either a triangle or a 5-cycle.

Proof. Suppose  $v$  is not on a triangle. Suppose also that  $v$  is not on a 5-cycle. Let  $N_1 = N(v)$  and  $N_2 = \{x \in V(G) : d(x, v) = 2\}$ . Since  $v$  is not on a triangle, then  $N_1$  is independent. Since  $\delta \geq 2$  by Theorem 1, each point in  $N_1$  has a neighbor in  $N_2$ . For each  $x \in N_1$ , let  $N'(x) = N(x) \cap N_2$ . Pick  $a_x \in N'(x)$  and let  $A = \{a_x : x \in N_1\}$ . If  $a_x \neq a_y$ , then  $a_x$  is not adjacent to  $a_y$ ; otherwise,  $vx a_x a_y v$  is a 5-cycle in  $G$  containing  $v$ . Thus,  $A$  is independent. Since  $A$  dominates  $N_1$ , then it follows that  $A$  and  $\{v\}$  don't extend to disjoint maximum independent sets in  $G$ . This is the desired contradiction. []

A  $W_2$  graph can have a point of degree two or possibly two adjacent points of degree two. However, we show in the next theorem that a  $W_2$  graph cannot have a point of degree two with each of its neighbors of degree two.

**Theorem 4.** If  $G$  is well-covered (and not a cycle) with a path of three consecutive points of degree two, then  $G$  is not in  $W_2$ .

**Proof.** Suppose  $a, b$  and  $c$  are points in  $G$  such that  $a \sim b, b \sim c$  and  $\deg(a) = \deg(b) = \deg(c) = 2$ . Since  $G$  is not a cycle, then  $a$  is not adjacent to  $c$ . Assume to the contrary that  $G$  is in  $W_2$ . Then by Theorem 3, the point  $b$  must lie on a 5-cycle. Suppose a 5-cycle containing  $b$  is  $C = xabcy$ . Since  $G$  is not a cycle, then either  $\deg(x) > 2$  or  $\deg(y) > 2$ . Without loss of generality, assume  $\deg(x) > 2$ . Let  $u \sim x$  such that  $u \notin C$ . Then  $\{u, c\}$  is independent. So  $\{u, c\}$  and  $\{a\}$  don't extend to disjoint maximum independent sets in  $G$ , a contradiction.

Therefore,  $G$  is not in  $W_2$ . []

Consider a graph  $G$  which is not complete and point  $v$  in  $G$ . By deleting  $v$  and its neighbors, we obtain a subgraph of  $G$ . Specifically, we define the subgraph  $G_v = G - N[v]$ .

In Theorem 5, we state a *necessary* condition for a well-covered graph to be in  $W_2$ , which is proved in [13]. We will reference Theorem 5 on several occasions in this paper.

**Theorem 5.** If a graph  $G$  is in  $W_2$  and  $G$  is not complete, then  $G_v$  is in  $W_2$  for all  $v$  in  $G$ .

The girth of a graph is the size of a smallest cycle in the graph. We say a graph with no cycles has infinite girth. In [13], we prove the following theorem.

**Theorem 6.** If  $G \in W_2$  ( $G \neq K_2$  or  $C_5$ ), then  $\text{girth } G \leq 4$ .

Hence, a  $W_2$  graph (other than  $K_2$  and  $C_5$ ) must contain a triangle or a 4-cycle. Thus, a triangle-free  $W_2$  graph (other than  $K_2$  and  $C_5$ ) has girth 4. In [13], we construct infinite families of  $W_2$  graphs with girth 4. We study *planar*  $W_2$  graphs of girth four for the remainder of this paper.

In general, a  $W_2$  graph can have a cutpoint. However, we prove in the next theorem that a  $W_2$  graph of girth four cannot have a cutpoint.

**Theorem 7.** If  $G$  is a  $W_2$  graph of girth 4, then  $G$  is 2-connected.

Proof. Assume to the contrary that  $G$  has a cutpoint  $v$ . Let  $G_1, G_2, \dots, G_n$  be the components of  $G-v$ . By Theorem 1.20, graphs  $G_1, \dots, G_n$  are  $W_2$  graphs. Let  $N_i = N(v) \cap G_i$ , for  $i = 1, \dots, n$ . Since  $G$  has girth 4, then  $N_i$  is independent for all  $i$ . Since  $G_i \in W_2$ , there exists maximum independent sets  $J_i$  in  $G_i$  such that  $J_i \cap N_i = \emptyset$ , for all  $i$ . Clearly,  $J = J_1 \cup \dots \cup J_n$  is an independent set in  $G$ . Consequently,  $J$  and  $\{v\}$  are disjoint independent sets in  $G$  which do not extend to disjoint maximum independent sets in  $G$ . This is a contradiction since  $G \in W_2$ . Hence,  $G$  is 2-connected.  $\square$

A line in a graph  $G$  is a critical line if its removal increases the independence number. A line-critical graph is a graph with only critical lines. Staples proved in [17] that a triangle-free  $W_2$  graph is line-critical. Hence, all graphs given subsequently in this paper are line-critical.

### PLANAR $W_2$ GRAPHS OF GIRTH FOUR

In this section, we will characterize all *planar*  $W_2$  graphs of girth 4. For graphs drawn in the plane, we say two faces are adjacent if they share a line. If a face  $F$  contains point  $v$ , we say  $F$  is incident to  $v$ . The size of a face is the number of points it contains. We refer to the order and sizes of the faces incident to a point  $v$  as the face configuration at  $v$ .

Lebesgue [10] developed the theory of Euler contributions for planar graphs and Ore [11] and Ore and Plummer [12] used the theory to study plane graph colorings. The Euler contribution of a point  $v$ ,  $\phi(v)$ , is defined as the quantity  $\phi(v) = 1 - (1/2)\deg(v) +$

$\sum (1/x_i)$ , where the sum is taken over all faces  $F_i$  incident to  $v$  and  $x_i$  is the size of  $F_i$ . If  $|F(G)|$  denotes the number of faces in the plane graph  $G$ , then it follows that  $\sum_v \phi(v) = |V(G)| - |E(G)| + |F(G)|$ . Here the sum is taken over all points  $v$  in  $G$ . Since Euler's formula for plane graphs says  $|V(G)| - |E(G)| + |F(G)| = 2$ , then we have  $\sum_v \phi(v) = 2$ . Thus,  $\phi(v)$  must be positive for some  $v$  in  $G$ . If  $\phi(v) > 0$ , we say  $v$  is a point with positive Euler contribution.

### An infinite family.

The following construction allows us to build larger planar  $W_2$  graphs of girth 4 from a given such graph. It can be verified directly from the definition of a  $W_2$  graph that the construction indeed yields a  $W_2$  graph.

**Construction 1.** Suppose  $G$  is a  $W_2$  graph with adjacent degree two points  $x$  and  $y$  which are not on a triangle. Let  $N(x) = \{u, y\}$ ,  $N(y) = \{x, v\}$ , and let  $a, b$  and  $c$  be new points. Form a new graph  $H$  with

$$V(H) = V(G) \cup \{a, b, c\}, \text{ and}$$

$$E(H) = E(G) \cup \{xa, ab, bc, cy, cu\}. \text{ See Figure 1.}$$

Then  $H$  is a  $W_2$  graph with  $\alpha(H) = \alpha(G) + 1$ .

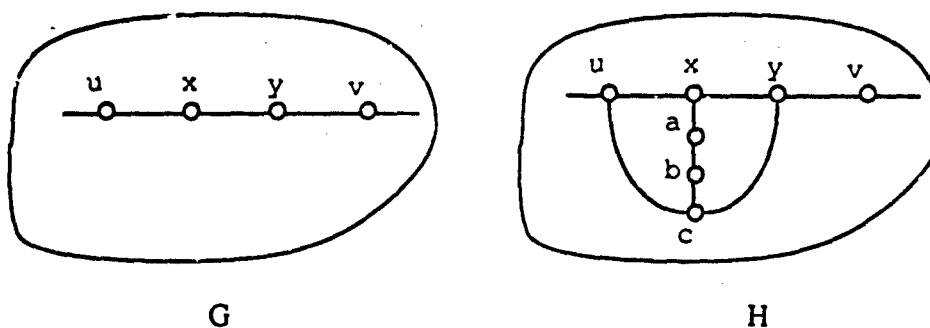


Figure 1



In Construction 1, if  $G$  is planar and has girth 4, then clearly  $H$  is also a planar  $W_2$  graph of girth 4. In the following theorem, we recursively construct an infinite family of planar  $W_2$  graphs of girth 4.

**Theorem 8.** Let  $n \geq 3$  be a positive integer. Then there exists a planar  $W_2$  graph of girth 4, denoted  $G_n$ , such that  $\alpha(G_n) = n$  and  $|V(G_n)| = 3n - 1$ .

**Proof.** (By induction on  $n$ .) For  $n = 3$ , let  $G_3$  be the graph on eight points given in Figure 2. Then  $\alpha(G_3) = 3$  and  $|V(G_3)| = 3(3) - 1$ . For  $k \geq 3$ , let  $G_{k+1}$  be a graph obtained from  $G_k$  by the construction given in Construction 1. Assume  $\alpha(G_k) = k$  and  $|V(G_k)| = 3k - 1$ . From the observation preceding Theorem 8, graph  $G_{k+1}$  is a planar  $W_2$  graph of girth 4. Also,  $|V(G_{k+1})| = |V(G_k)| + 3 = 3k - 1 + 3 = 3(k+1) - 1$ , and  $\alpha(G_{k+1}) = \alpha(G_k) + 1 = k + 1$ .

Therefore,  $G_{k+1}$  satisfies the statement of the theorem. The result follows by induction. □

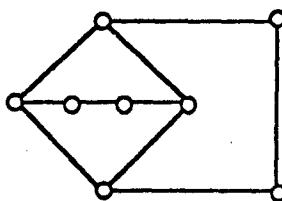


Figure 2

Now that we have an infinite family of planar  $W_2$  graphs of girth 4, we work toward showing that all planar  $W_2$  graphs of girth 4 are in the family in Theorem 8.

A characterization.

Since the smallest cycle in a  $W_2$  graph of girth 4 is a 4-cycle, it is of interest to learn what we can about 4-cycles in these graphs. The next lemma will help us to determine those  $W_2$  graphs of girth 4 which have exactly one 4-cycle.

**Lemma 9.** Suppose  $G$  is a  $W_2$  graph of girth 4. Let  $C$  be a 4-cycle in  $G$ . If  $\deg(v) = 3$  for all points  $v$  in  $C$ , then  $G$  is isomorphic to the graph given in Figure 2.

Proof. Let  $C = v_1v_2v_3v_4$ . Assume  $\deg(v_i) = 3$ , for all  $i$ . Since  $G$  has girth 4, then  $v_1$  is not adjacent to  $v_3$  and  $v_2$  is not adjacent to  $v_4$ . Let  $u_i \sim v_i$  such that  $u_i$  is not in  $C$ , for all  $i$ . Since  $G$  has girth 4, then  $u_i \neq u_{i+1}$  for all  $i$  (addition mod 4).

Suppose  $u_1 = u_3$ . Then  $N(v_1) = N(v_3)$ . So  $\{v_1\}$  and  $\{v_3\}$  don't extend to disjoint maximum independent sets in  $G$ , contradicting  $G \in W_2$ . Thus,  $u_1 \neq u_3$  and, similarly,  $u_2 \neq u_4$ . So we can assume that  $i \neq j$  implies  $u_i \neq u_j$ .

Suppose  $u_1 \sim u_4$ . Since  $\deg(v_1) = 3$ , then  $\{u_4, v_3\}$  is independent and dominates  $N(v_1)$ . Thus,  $\{u_4, v_3\}$  and  $\{v_1\}$  don't extend to disjoint maximum independent sets in  $G$ , a contradiction. So  $u_1$  is not adjacent to  $u_4$ . Similarly,  $u_1$  is not adjacent to  $u_2$ ,  $u_2$  is not adjacent to  $u_3$ , and  $u_3$  is not adjacent to  $u_4$ .

Since  $G \in W_2$ ,  $\deg(u_1) \geq 2$ . Since  $u_1 \neq u_i$ ,  $i \neq 1$ , then  $u_1$  is not adjacent to  $v_i$ ,  $i \neq 1$ . Thus, there exists  $y \sim u_1$  such that  $y \notin C$ . If  $y$  is not adjacent to  $v_3$ , then  $\{y, v_3\}$  and  $\{v_1\}$  don't extend to disjoint maximum independent sets in  $G$ . So we assume  $y \sim v_3$ ; that is,  $y = u_3$  and  $u_1 \sim u_3$ . Moreover, we have shown that  $\deg(u_1) = 2$ . By symmetry,  $\deg(u_3) = 2$ .

By a symmetrical argument,  $u_2 \sim u_4$  and  $\deg(u_2) = \deg(u_4) = 2$ . So  $\deg(v_i) = 3$  for all  $i$  and  $\deg(u_i) = 2$  for all  $i$ . Therefore  $G$  can be drawn in the plane as the graph given in Figure 2. □

Now we show in Theorem 10 that there is only one  $W_2$  graph of girth 4 with exactly one 4-cycle.

**Theorem 10.** If  $G$  is a  $W_2$  graph of girth 4 with exactly one 4-cycle, then  $G$  is isomorphic to the graph in Figure 2.

**Proof.** Suppose  $G$  is a  $W_2$  graph of girth 4 with exactly one 4-cycle. Let  $C = abcd$  be the 4-cycle in  $G$ . By Theorem 5,  $\text{graph } G_v \in W_2$  for all points  $v$  in  $G$ . By Theorem 2,  $\deg(x) \geq 3$  for all  $x$  in  $C$ .

Suppose  $\deg(x) \geq 4$  for some  $x$  in  $C$ . Without loss of generality, assume  $\deg(b) \geq 4$ . Let  $w$  and  $y$  be neighbors of  $b$  such that  $\{w, y\} \cap \{a, c\} = \emptyset$ . Since  $G$  has only one 4-cycle, then  $d$  is adjacent to neither  $w$  nor  $y$ . Consider the  $W_2$  graph  $G_d$ . Note that  $b, y$  and  $w$  are in the same component of  $G_d$ . Since  $G$  has only one 4-cycle, then  $G_d$  has no 4-cycles. Thus,  $G_d$  is a  $W_2$  graph with girth  $> 4$ .

By Theorem 6, each component of  $G_d$  is a line or a 5-cycle. So the component  $H$  of  $G_d$  containing  $b, y$  and  $w$  must be a 5-cycle, say  $H = stybw$ . See Figure 3.

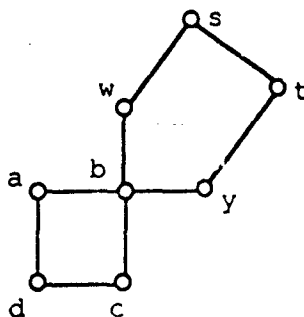


Figure 3

Since  $G$  has only one 4-cycle, then  $s$  is adjacent to neither  $a$  nor  $c$ . Thus, the points  $a, b, c$  and  $y$  are all in the same component of the  $W_2$  graph  $G_s$ . But then the component of

$G$ , containing  $a, b, c$  and  $y$  is neither a line nor a 5-cycle. Since  $G$  is a  $W_2$  graph with girth  $> 4$ , we obtain a contradiction via Theorem 6.

Hence,  $\deg(b) = 3$ . It follows that  $\deg(x) = 3$  for all  $x$  in  $C$ . By Lemma 9, the result follows. []

In the following theorem, we prove that if a  $W_2$  graph has a point of degree two which does not have a neighbor of degree two, then the graph is not planar. As a consequence, we prove in Corollary 12 that if a planar  $W_2$  graph of girth 4 has points of degree two, then those points of degree two must occur in adjacent pairs.

**Theorem 11.** Suppose  $G$  is in  $W_2$  and contains a point  $v$  of degree two which is not on a triangle and whose neighbors have degree  $\geq 3$ . Then  $G$  is not planar.

Proof. Let  $N(v) = \{a, b\}$ . Since  $v$  is not on a triangle, then  $a$  is not adjacent to  $b$ . Let  $N_1 = N(a) - v$  and  $N_2 = N(b) - v$ . By Theorem 2, a 4-cycle in a  $W_2$  graph cannot have a point of degree two. Thus,  $N_1 \cap N_2 = \emptyset$ . Suppose there exist points  $x$  and  $y$  such that  $x \in N_1$ ,  $y \in N_2$  and  $x$  is not adjacent to  $y$ . Then  $\{x, y\}$  and  $\{v\}$  don't extend to disjoint maximum independent sets in  $G$ , contradicting  $G \in W_2$ . Hence,  $x \in N_1$  and  $y \in N_2$  implies  $x \sim y$ . Since  $\deg(a) \geq 3$  and  $\deg(b) \geq 3$ , then there exist points  $u_1$  and  $v_1$  in  $N_1$  and points  $u_2$  and  $v_2$  in  $N_2$ . Since  $x \sim y$  for all  $x \in N_1$ , for all  $y \in N_2$ , it follows that  $u_1 \sim u_2$ ,  $u_1 \sim v_2$ ,  $u_2 \sim v_1$  and  $v_1 \sim v_2$ . Thus,  $G$  is not planar. []

**Corollary 12.** If  $G$  is a planar  $W_2$  graph of girth 4 with  $\delta = 2$ , then the points of degree two occur as adjacent pairs.

Proof. Suppose  $v$  is a point of degree two in  $G$ . Since  $G$  has girth 4, by Theorem 11 it follows that  $v$  has a neighbor of degree two. By Theorem 4, the point  $v$  cannot have two neighbors of degree two. Thus,  $v$  has exactly one neighbor with degree two. Hence, the points of degree two occur as adjacent pairs in  $G$ . []

We note that it is possible for a  $W_2$  graph of girth 4 to have a point of degree two whose neighbors have degree greater than two. The graph in Figure 4 is one such example. Moreover, using the graph in Figure 4 as a starting graph, an infinite family of  $W_2$  graphs of girth 4 can be recursively constructed via Construction 1. Each graph in this family has a point of degree two whose neighbors have degree greater than two.

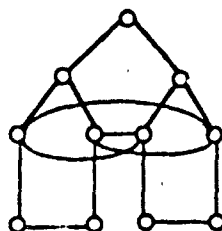


Figure 4

We return to consideration of planar  $W_2$  graphs of girth 4 with points of degree two. Since we know degree two points occur in pairs, we consider the structure around adjacent points of degree two.

**Lemma 13.** Suppose  $G$  is a planar  $W_2$  graph of girth 4 with adjacent degree two points  $x$  and  $y$ . Let  $N(x) = \{u, y\}$  and  $N(y) = \{v, x\}$ . Then  $\deg(u) = \deg(v) = 3$ . Moreover,  $u$  and  $v$  have two common neighbors.

**Proof.** By Theorem 7, graph  $G$  is 2-connected. By Theorem 3, the points  $x$  and  $y$  are on a 5-cycle  $C$ . Then  $C = xyvwu$ . By Theorem 4,  $\deg(u) \geq 3$  and  $\deg(v) \geq 3$ . Thus,  $\deg(w) > 2$  by Theorem 11. Let  $N'(w) = N(w) - \{u, v\}$ .

Let  $U_x = N(u) - x$ . Suppose there exists some  $p \in U_x$  such that  $p$  is not adjacent to  $v$ . Then  $\{p, v\}$  and  $\{x\}$  don't extend to disjoint maximum independent sets in  $G$ , contradicting  $G \in W_2$ . Thus,  $p \in U_x$  implies  $p \sim v$ .

Assume that  $\deg(u) > 3$ .

Suppose  $U_x$  has at least two points outside  $C$  and no points inside  $C$ . Let  $a \in U_x$  such that no point of  $U_x$  is in the interior of cycle  $uwva$ , and let  $b \in U_x$  such that  $a$  is the only member of  $U_x$  in the interior of cycle  $uwvb$ . Since  $G$  has girth 4, then  $\{a, b, w\}$  is independent (see Figure 5).

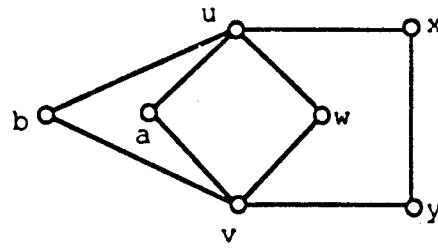


Figure 5

Suppose  $z \in N'(w)$  implies  $z \sim a$ . Then  $\{a\}$  and  $\{w\}$  don't extend to disjoint maximum independent sets in  $G$ , contradicting  $G \in W_2$ . Thus, there exists some  $z \in N'(w)$  such that  $z$  is not adjacent to  $a$ . Consider the graph  $G_z$ . By Theorem 5, graph  $G_z \in W_2$ .

Let  $A_1 = \{c \sim a: c \text{ is inside the cycle } uwva\}$  and  $A_2 = \{d \sim a: d \text{ is inside cycle } uavb\}$ . If  $A_1 = \emptyset$ , then  $w$  is a cutpoint for  $G$ , contradicting the 2-connectedness of  $G$ . Thus  $A_1 \neq \emptyset$ . If there exists  $c \in A_1$  such that  $c$  is not adjacent to  $z$ , then  $a$  is a cutpoint for  $G_z$ . Since  $G_z$  is 2-connected by Theorem 7, we obtain a contradiction. Thus,  $c \in A_1$  implies  $c \sim z$ . Now, if  $A_2 = \emptyset$ , then  $\{b, z\}$  and  $\{a\}$  don't extend to disjoint maximum independent sets in  $G$ . So  $A_2 \neq \emptyset$ .

Let  $B = \{f \sim b: f \text{ is inside cycle } uavb\}$ . Suppose there exists some  $d \in A_2$  such that  $d \notin B$ . Then  $a$  is a cutpoint in the graph  $G_b$ , a contradiction by Theorem 7. Thus,  $d \in A_2$  implies  $d \in B$ ; that is,  $A_2$  is contained in  $B$ . But then  $\{b, z\}$  and  $\{a\}$  don't extend to disjoint maximum independent sets in  $G$ .

Hence, it is not possible that  $U_x$  has at least two points outside  $C$  and no points inside  $C$ . By symmetry, we cannot have at least two points of  $U_x$  inside  $C$  and no points of  $U_x$  outside  $C$ .

If  $U_x$  has at least one point inside  $C$ , then rename  $w$  as the innermost point of  $U_x$  inside  $C$ ; that is, choose  $w$  so that no point of  $U_x$  is inside the 5-cycle  $xyvwu$ . Now we can proceed as above to obtain a contradiction.

Hence,  $\deg(u) = 3$ . By symmetry,  $\deg(v) = 3$ . Let  $N(u) = \{x, w, t\}$ . From above,  $p \in U_x$  implies  $p \sim v$ . Thus,  $N(v) = \{y, w, t\}$ . []

Hence, if  $x$  is a point of degree two in a planar  $W_2$  graph of girth 4, then  $x$  must have one neighbor, say  $y$ , with degree two and one neighbor, say  $u$ , with degree three. In addition, if  $v$  is the second neighbor of  $y$ , then  $\deg(v) = 3$  and  $u$  and  $v$  have two common neighbors.

We show in the next theorem that a planar  $W_2$  graph of girth 4 with points of degree two must be in the family constructed in Theorem 8.

**Theorem 14.** Suppose  $G$  is a planar  $W_2$  graph of girth 4 with  $\delta = 2$ . Then  $G$  is a member of the family of graphs given in Theorem 8.

Proof. (By induction on the number of 4-cycles.) Suppose  $G$  is a planar  $W_2$  graph of girth 4 with  $\delta = 2$ . Suppose  $G$  has exactly one 4-cycle. Then by Theorem 10, it follows that  $G = G_3$  given in Theorem 8. Assume the inductive hypothesis: if  $G$  is a planar  $W_2$  graph of girth 4 with  $\delta = 2$  and the number of 4-cycles in  $G$  is exactly  $k-1$  ( $k \geq 2$ ), then  $G$  is a member of the family of graphs given in Theorem 8.

Suppose  $G$  is a planar  $W_2$  graph of girth 4 with  $\delta = 2$  and the number of 4-cycles in  $G$  is exactly  $k$  ( $k \geq 2$ ). By Corollary 12, graph  $G$  has adjacent degree two points, say  $x$  and  $y$ . Let  $N(x) = \{u, y\}$  and  $N(y) = \{v, x\}$ . It follows from Lemma 13 that  $\deg(u) = \deg(v) = 3$  and  $u$  and  $v$  have two common neighbors. So let  $N(u) = \{x, w, a\}$  and  $N(v) =$

$\{y, w, a\}$ . Without loss of generality, assume  $a$  is exterior to cycle  $xyvwu$  (see Figure 6).

By Corollary 12,  $\deg(w) > 2$  and  $\deg(a) > 2$ .

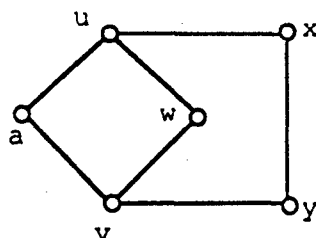


Figure 6

If there exists some point  $s, s \notin \{u, v\}$ , such that  $s \sim w$  and  $s \sim a$ , then  $\{s, x\}$  and  $\{v\}$  don't extend to disjoint maximum independent sets in  $G$ , contradicting  $G \in W_2$ . Thus,  $N'(w) \cap N'(a) = \emptyset$ , where  $N'(w) = N(w) - \{u, v\}$  and  $N'(a) = N(a) - \{u, v\}$ .

Case 1. Suppose  $\deg(w) \geq 4$ .

Case 1.1. Also suppose  $\deg(a) \geq 4$ . Since we are assuming  $\deg(w) \geq 4$  and  $\deg(a) \geq 4$ , then it follows that there exist distinct points  $z_1, z_2, a_1, a_2$  such that  $z_i \in N'(w)$  and  $a_i \in N'(a)$ ,  $i = 1, 2$ .

Suppose there exist  $i$  and  $j$  such that  $a_i$  is not adjacent to  $z_j$ . Then  $\{a_i, z_j, y\}$  is independent and so  $\{a_i, z_j, y\}$  and  $\{u\}$  don't extend to disjoint maximum independent sets in  $G$ , contradicting  $G \in W_2$ . Thus  $a_i \sim z_j$  for all  $i$  and all  $j$ . But this contradicts the planarity of  $G$ .

Case 1.2. Thus we must have  $\deg(a) = 3$ . Consider the graph  $G_y$ . By Theorem 5, graph  $G_y$  is in  $W_2$ . In  $G_y$ , the points  $a$  and  $u$  are adjacent points of degree two. Since  $N'(w) \cap N'(a) = \emptyset$ , it follows that  $G_y$  has exactly one less 4-cycle than  $G$  ( $uwva$  is the only 4-cycle in  $G$  containing  $v$ ). Since the number of 4-cycles in  $G$  is exactly  $k$ , then the number of 4-cycles in  $G_y$  is exactly  $k-1$ . So  $G_y$  is a planar  $W_2$  graph of girth 4 with  $\delta = 2$  and the number of 4-cycles in  $G_y$  is exactly  $k-1$ . By the inductive assumption, the graph



$G_y$  is in the family of graphs given in Theorem 8. Then  $G$  can be obtained from  $G_y$  via the construction in Construction 1, with  $x$ ,  $y$  and  $v$  playing the roles of  $a$ ,  $b$  and  $c$ , respectively (see Figure 7). Thus,  $G$  is a member of the family of graphs given in Theorem 8.

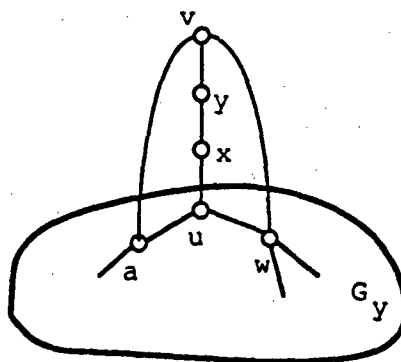


Figure 7

Case 2. Suppose  $\deg(w) = 3$ . Then in the graph  $G_y$ , points  $w$  and  $u$  are adjacent points of degree two. As in Case 1.2, the number of 4-cycles in  $G_y$  is exactly  $k-1$  and, hence,  $G_y$  is in the family of graphs given in Theorem 8. Once again,  $G$  can be obtained from  $G_y$  via the construction in Construction 1, with  $x$ ,  $y$  and  $v$  playing the roles of  $a$ ,  $b$  and  $c$ . Thus,  $G$  is a member of the family of graphs given in Theorem 8. []

In order to complete the discussion on planar  $W_2$  graphs of girth 4, we will show that a planar  $W_2$  graph of girth 4 must have points of degree two. The theory of Euler contributions will be used for this.

We need to consider possible face configurations at a point of degree three in a planar  $W_2$  graph of girth 4. In a 2-connected planar graph, the faces incident at a point can intersect in various ways. However, for a planar  $W_2$  graph of girth 4, the following lemma

shows that adjacent faces which are incident to a point of degree three always have a line as their intersection. We omit the proof of the lemma.

**Lemma 15.** Suppose  $G$  is planar  $W_2$  graph of girth 4 with  $\delta \geq 3$ . Suppose  $v$  is a point of degree three with  $N(v) = \{u_1, u_2, u_3\}$ , incident faces  $F_1, F_2$  and  $F_3$  (where face  $F_1$  contains lines  $vu_1$  and  $vu_2$ , face  $F_2$  contains lines  $vu_2$  and  $vu_3$ , and face  $F_3$  contains lines  $vu_3$  and  $vu_1$ ) and positive Euler contribution,  $\phi(v)$ . Then  $F_1 \cap F_2 = vu_2$ ,  $F_2 \cap F_3 = vu_3$  and  $F_3 \cap F_1 = vu_1$ .

In Theorem 16, by considering all possible face configurations at a point  $v$  with  $\deg(v) = 3$  and  $\phi(v) > 0$ , we conclude that a planar  $W_2$  graph of girth 4 must have points of degree two.

**Theorem 16.** If  $G$  is a planar  $W_2$  graph of girth 4, then  $\delta = 2$ .

Proof. Assume to the contrary that  $\delta \geq 3$ . Let  $\phi(v)$  be the Euler contribution of point  $v$  in  $G$ . If  $\deg(v) = 4$ , then  $\phi(v) = -1 + \sum(1/x_i)$ , where the sum is taken over the four faces incident to  $v$ . Since  $G$  has girth 4, the largest possible value for  $\sum(1/x_i)$  is 1, when  $v$  has face configuration (4,4,4,4). Hence,  $\phi(v) \leq 0$  whenever  $\deg(v) = 4$ . If  $\deg(v) = 5$ , then  $\phi(v) = -3/2 + \sum(1/x_i)$ , where the sum is taken over the five faces incident to  $v$ . The largest possible value for  $\sum(1/x_i)$  here is  $5/4$ , when  $v$  has face configuration (4,4,4,4,4). Hence,  $\phi(v) < 0$  whenever  $\deg(v) = 5$ . In fact,  $\phi(v) < 0$  whenever  $\deg(v) \geq 5$ . Since  $G$  must have a point  $v$  with  $\phi(v) > 0$  and we are assuming  $\delta \geq 3$ , then we must have  $\delta = 3$ .

So assume  $v$  is a point in  $G$  with  $\deg(v) = 3$  and  $\phi(v) > 0$ . Then  $\phi(v) = -1/2 + \sum(1/x_i)$ , where the sum is taken over the three faces incident to  $v$ ;  $\phi(v) > 0$  implies that  $\sum(1/x_i) > 1/2$ . Since  $G$  has girth 4, the only possible face configurations at  $v$  are the following solutions to the Diophantine inequality  $\sum(1/x_i) > 1/2$ :

1.  $(4,4,n)$ , for  $n \geq 4$ ;
2.  $(4,5,n)$ , for  $5 \leq n \leq 19$ ;
3.  $(4,6,n)$ , for  $6 \leq n \leq 11$ ;
4.  $(4,7,n)$ , for  $7 \leq n \leq 9$ ;
5.  $(5,5,n)$ , for  $5 \leq n \leq 9$ ;
6.  $(5,6,n)$ , for  $6 \leq n \leq 7$ .

Let  $N(v) = \{u_1, u_2, u_3\}$ . Let  $F_1, F_2$  and  $F_3$  be the faces incident to  $v$  (where face  $F_1$  contains lines  $vu_1$  and  $vu_2$ , face  $F_2$  contains lines  $vu_2$  and  $vu_3$ , and face  $F_3$  contains lines  $vu_3$  and  $vu_1$ ). It follows from Lemma 15 that  $F_1 \cap F_2 = vu_2$ ,  $F_1 \cap F_3 = vu_1$  and  $F_2 \cap F_3 = vu_3$ .

Case 1. Suppose  $v$  has face configuration  $(4,4,n)$ ,  $n \geq 4$ . Let  $F_1 = vu_1au_2$  and  $F_2 = vu_2bu_3$ . Since  $G$  has girth 4, then  $a$  is not adjacent to  $b$ . Then  $\{a, b\}$  and  $\{v\}$  don't extend to disjoint maximum independent sets in  $G$ , contradicting  $G \in W_2$ . Therefore,  $(4,4,n)$ ,  $n \geq 4$ , cannot occur as a face configuration for  $v$ .

Case 2. Suppose  $v$  has face configuration  $(4,5,n)$ ,  $5 \leq n \leq 19$ . Let  $F_1 = vu_1au_2$ ,  $F_2 = vu_2bcu_3$  and  $F_3 = vu_3df \dots eu_1$  ( $e = f$  when  $n = 5$ ). If  $a$  is not adjacent to  $c$ , then  $\{a, c\}$  and  $\{v\}$  don't extend to disjoint maximum independent sets in  $G$ . So  $a \sim c$ . Similarly,  $a \sim d$ . Since  $G$  is planar, point  $b$  is adjacent to none of points  $d, e$  or  $u_1$ , point  $c$  is not adjacent to  $e$ , and  $d$  is not adjacent to  $u_2$ . See Figure 8.

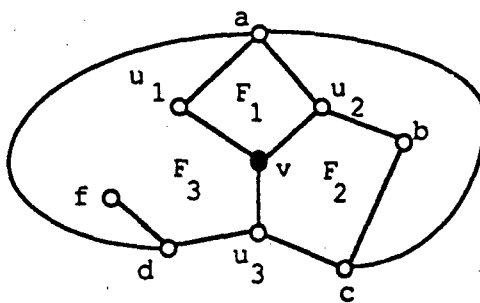


Figure 8

Suppose  $\deg(u_3) \neq 3$ . Then there exists  $w \sim u_3$  such that  $w \notin \{c, d, v\}$  and  $\{w, b, e\}$  is independent. Then  $\{w, b, e\}$  and  $\{v\}$  don't extend to disjoint maximum independent sets in  $G$ , a contradiction. Thus,  $\deg(u_3) = 3$ .

Let  $N = N(c) - \{a, b, u_3\}$ . Assume  $t \in N$  implies  $t \sim u_2$ . Then  $\{d, u_2\}$  and  $\{c\}$  don't extend to disjoint maximum independent sets in  $G$ . Thus, there exists some  $t \in N$  such that  $t$  is not adjacent to  $u_2$ . But then  $\{t, u_2, f\}$  is independent and so  $\{t, u_2, f\}$  and  $\{u_3\}$  don't extend to disjoint maximum independent sets in  $G$ .

Thus,  $v$  cannot have face configuration  $(4, 5, n)$ ,  $5 \leq n \leq 19$ .

Case 3. Suppose  $v$  has face configuration  $(4, 6, n)$ , for  $6 \leq n \leq 11$ . Let  $F_1 = vu_1au_2$ ,  $F_2 = vu_2bwcu_3$  and  $F_3 = vu_3d...eu_1$ . As in Case 2, we have  $a \sim c$  and  $a \sim d$ . Thus, since  $G$  is planar,  $e$  is adjacent to neither  $b$  nor  $c$ . Since  $G$  has girth 4, then  $b$  is not adjacent to  $c$ . Hence,  $\{b, c, e\}$  is independent and so  $\{b, c, e\}$  and  $\{v\}$  don't extend to disjoint maximum independent sets in  $G$ , contradicting  $G \in W_2$ .

Thus,  $v$  cannot have face configuration  $(4, 6, n)$ ,  $6 \leq n \leq 11$ .

Case 4. Suppose  $v$  has face configuration  $(4, 7, n)$ ,  $7 \leq n \leq 9$ . Let  $F_1 = vu_1au_2$ ,  $F_2 = vu_2bxycu_3$  and  $F_3 = vu_3dz...eu_1$ . As in Case 2, we have  $a \sim c$  and  $a \sim d$ . Also as in Case 2,  $\deg(u_3) = 3$ . If  $b$  is not adjacent to  $c$ , then  $\{b, c, e\}$  is independent (since  $G$  is planar). Thus,  $\{b, c, e\}$  and  $\{v\}$  don't extend to disjoint maximum independent sets in  $G$ , a contradiction. So  $b \sim c$ . It follows that  $y$  is not adjacent to  $u_2$ . But then  $\{y, u_2, z\}$  is independent and so  $\{y, u_2, z\}$  and  $\{u_3\}$  don't extend to disjoint maximum independent sets in  $G$ .

Thus,  $v$  cannot have face configuration  $(4, 7, n)$ ,  $7 \leq n \leq 9$ .

Case 5. Suppose  $v$  has face configuration  $(5, 5, n)$ ,  $5 \leq n \leq 9$ . Let  $F_1 = vu_1abu_2$ ,  $F_2 = vu_2cdu_3$  and  $F_3 = vu_3ex...fu_1$  ( $x = f$  when  $n = 5$ ).

Case 5.1. Suppose  $a$  is not adjacent to  $c$ . If neither  $a$  nor  $c$  is adjacent to  $e$ , then  $\{a, c, e\}$  and  $\{v\}$  don't extend to disjoint maximum independent sets in  $G$ . Thus, either  $a \sim e$  or  $c \sim e$ .

Case 5.1.1. Suppose  $a \sim e$ . Then neither  $b$  nor  $d$  is adjacent to  $f$ . See Figure 9.

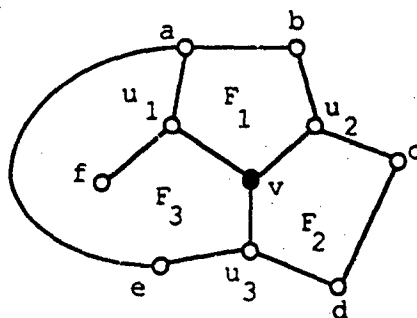


Figure 9

If  $b$  is not adjacent to  $d$ , then  $\{b, d, f\}$  and  $\{v\}$  don't extend to disjoint maximum independent sets in  $G$ . Thus,  $b \sim d$ . As in Case 2,  $\deg(u_3) = 3$ . Now we can apply the argument given in Case 2 to obtain a contradiction.

Case 5.1.2. So  $c \sim e$ . If  $b$  is not adjacent to  $f$ , then  $\{b, f, d\}$  and  $\{v\}$  don't extend to disjoint maximum independent sets in  $G$ . So  $b \sim f$ . See Figure 10.

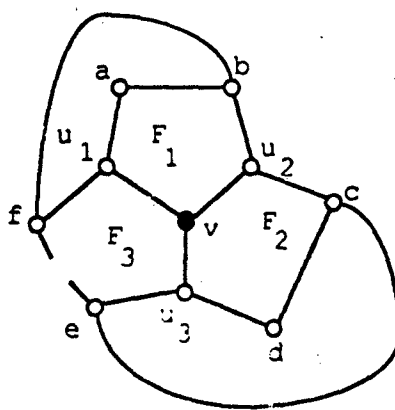


Figure 10

As in Case 2, with  $u_2$  playing the role of  $u_3$ , we have  $\deg(u_2) = 3$ . Now we can apply the argument given in Case 2, with  $N = N(c) - \{d, e, u_2\}$ , to show that this configuration cannot occur.

Case 5.2. Hence,  $a \sim c$ . If  $f$  is not adjacent to  $d$ , then  $\{f, d, b\}$  and  $\{v\}$  don't extend to disjoint maximum independent sets in  $G$ . So assume  $f \sim d$ . See Figure 11.

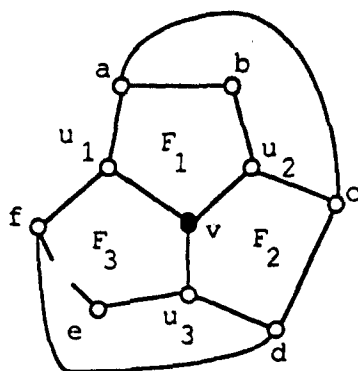


Figure 11

As in Case 2, with  $u_1$  playing the role of  $u_3$ , we have  $\deg(u_1) = 3$ . Now we can apply the argument given in Case 2 to obtain a contradiction.

Thus,  $v$  cannot have face configuration  $(5, 5, n)$ ,  $5 \leq n \leq 9$ .

Case 6. Suppose  $v$  has face configuration  $(5, 6, n)$ ,  $n = 6$  or  $7$ . Let  $F_1 = vu_1abu_2$ ,  $F_2 = vu_2cxdu_3$  and  $F_3 = vu_3ewyfu_1$  ( $w = y$  when  $n = 6$ ). Since  $G$  has girth 4, then  $c$  is not adjacent to  $d$ .

Case 6.1. Suppose  $a \sim c$ . If  $f$  is not adjacent to  $d$ , then  $\{b, d, f\}$  is independent and so  $\{b, d, f\}$  and  $\{v\}$  don't extend to disjoint maximum independent sets in  $G$ . So assume  $f \sim d$ . See Figure 12.

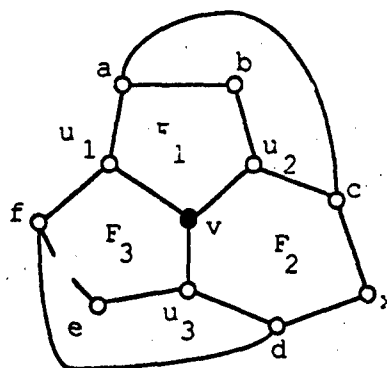


Figure 12

As in Case 2, with  $u_1$  playing the role of  $u_3$ , we have  $\deg(u_1) = 3$ . Now we can apply the argument given in Case 2 to obtain a contradiction.

Case 6.2. So  $a$  is not adjacent to  $c$ . If  $a$  is not adjacent to  $d$ , then  $\{a, c, d\}$  and  $\{v\}$  don't extend to disjoint maximum independent sets in  $G$ , a contradiction. So assume  $a \sim d$ .

Case 6.2.1. Suppose  $n = 6$ . Since  $G$  has girth 4, then  $f$  is not adjacent to  $e$ . Then  $\{b, f, e\}$  is independent and so  $\{b, f, e\}$  and  $\{v\}$  don't extend to disjoint maximum independent sets in  $G$ . So  $n = 6$  cannot occur.

Case 6.2.2. Suppose  $n = 7$ . If  $f$  is not adjacent to  $d$ , then  $\{c, d, f\}$  and  $\{v\}$  don't extend to disjoint maximum independent sets in  $G$ . So assume  $f \sim d$ . If  $f$  is not adjacent to  $e$ , then  $\{f, b, e\}$  and  $\{v\}$  don't extend to disjoint maximum independent sets in  $G$ . So assume  $f \sim e$ . See Figure 13.

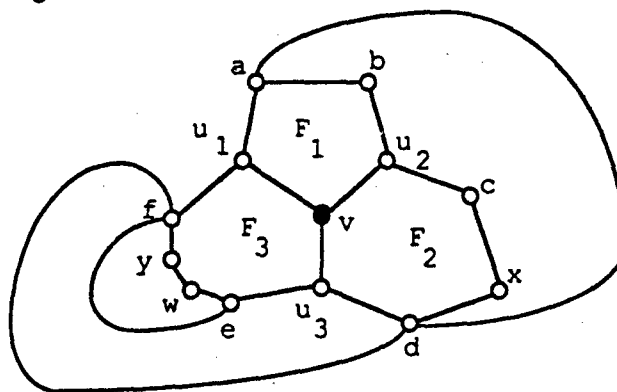


Figure 13

As in Case 2, with  $u_1$  playing the role of  $u_3$ , we have  $\deg(u_1) = 3$ . Then  $\{b, y, u_3\}$  is independent and so  $\{b, y, u_3\}$  and  $\{u_1\}$  don't extend to disjoint maximum independent sets in  $G$ . Thus,  $n = 7$  cannot occur.

Hence,  $v$  cannot have face configuration  $(5, 6, n)$ , for  $n = 6$  or  $7$ . Thus,  $\deg(v) = 3$  with  $\phi(v) > 0$  leads to a contradiction in all possible cases. Therefore,  $\delta \leq 2$ . Since  $G \in W_2$  and  $G \neq K_2$ , then by Theorem 1 it follows that  $\delta \geq 2$ . We conclude that  $\delta = 2$ . []

Hence, we are able to completely characterize the planar  $W_2$  graphs of girth 4. In particular, the next corollary shows that the family of graphs in Theorem 8 is identical to the family of planar  $W_2$  graphs of girth 4.

**Corollary 17.** If  $G$  is a planar  $W_2$  graph of girth 4, then  $G$  is a member of the family of graphs given in Theorem 8.

Proof. This follows immediately from Theorem 16 and Theorem 14. []

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